

# UTTAR PRADESH ELECTRICITY REGULATORY COMMISSION



Bid Document

for

Engagement of Designated Independent Agency (DIA)  
for Prudence Check of Capital Cost of Alaknanda Hydro Electric Project, Sri Nagar,  
Uttarakhand (87.5 MWx4)

NIT NO : 01

30<sup>th</sup> August, 2018

Vidyut Niyamak Bhawan, Vibhuti Khand  
Gomti Nagar, Lucknow- 226010  
E-mail: [secretary@uperc.org](mailto:secretary@uperc.org)  
Phone : +91-522-2720426  
Fax: +91-522-2720423

**Notice inviting Bids for appointment of DIA  
(30<sup>th</sup> August, 2018)**

<b>INFORMATION TO BIDDERS</b>	
1	<p>Name of the client: <b>UTTAR PRADESH ELECTRICITY REGULATORY COMMISSION</b></p> <p>Method of selection: <b>QCBS (Quality and Cost Based Selection)</b></p>
2	<p><b>BACKGROUND OF THE PROJECT</b></p> <ul style="list-style-type: none"> <li>• Srinagar Hydro Electric Project (SHEP) located in Tehri/ Pauri Garhwal district of Uttrakhand was a project envisaged by the then Uttar Pradesh State Electricity Board (UPSEB) on river Alaknanda, which is basically a run-of-river scheme.</li> <li>• The Techno-Economic approval (TEC) of the scheme was granted for 200 MW (4 X 50 MW) by the Central Electricity Authority (CEA) in its meeting held on 6.11.1982, subject to the environmental clearance from the Ministry of Environment and Forest (MoEF)</li> <li>• Later on, CEA approved and granted the TEC for the enhanced capacity of 330 MW vide its letter dated 18.12.1987</li> <li>• GoUP entered into a MOU with M/s Duncan Industries Ltd. on 27.8.1994 for development of the project and in terms of the MOU, M/s Duncan Industries Ltd. established a generating company 'Duncan North Hydro Power Co. Ltd.' (DNHPCL)</li> <li>• The Duncans gave up the project after carrying out some preliminary work and then the project was transferred to Tata Power and ultimately to Alaknanda Hydro Power Company Ltd. (AHPCL).</li> <li>• After the formation of new State of Uttaranchal (now Uttarakhand), the GoUP, the Govt. of Uttaranchal, the UPPCL and AHPCL entered into a restated Implementation Agreement (RIA) on 08.02.2006.</li> <li>• MoEF transferred the environmental clearance in favour of AHPCL on 27.03.2006. TEC was also transferred in favour of AHPCL on 06.07.2004 validated later up to 14.6.2006. CEA approved completion cost of the project as Rs 1722.85 Cr which was previously Rs.1700 Cr. There was also change in the units from 6 X 55 MW to 4 X 87.5MW, as approved by CEA.</li> <li>• UPERC approved the amended and restated PPA entered between AHPCL and UPPCL on 28.06.2006 with revised provisional project cost of Rs.1977.87 Cr. (due to escalation in costs of civil and E&amp;M works).</li> <li>• Additional Rs. 135.08 Cr totalling Rs. 2112.95 Cr was allowed by the Commission on 16.10.07 on account of increase in interest and financing charges with change in debt equity ratio from 70:30 to 80:20.</li> <li>• Further additional estimated capital cost of Rs. 325.31 Cr. was sanctioned by the Commission vide order dated 23.06.2008 on account of civil and hydro mechanical works which made the total approved estimated cost as Rs. 2438.26 Cr.</li> <li>• Total revised cost estimate of Rs. 2697.7 Cr. was again approved by the Commission vide its order dated 10.12.2008 on account of force majeure event due to changed design norms of NCS DP (National Committee on Seismic Design Parameters).</li> </ul>
3	<p><b>Petition No. 1267 of 2017</b></p> <ul style="list-style-type: none"> <li>• <b>Petition No. 1093 of 2016</b> was filed by AHPCL on 05/02/2016 wherein they requested to approve revised provisional capital cost of Rs. 5,088.77 Crore and consider the same for</li> </ul>

	<p>determination of provisional Tariff until the capital cost is finalized by the Commission</p> <ul style="list-style-type: none"> <li>• In the Order <b>dated 03.03.2016</b> the Commission taking 90% of Capital cost of Rs 4218 Cr, approved revised provisional Tariff for FY 2015-16 and FY 2016-17 and also worked out the levelized tariff for 30 years on the basis of provisional cost considered at that time.</li> <li>• <b>Petition no. 1267 of 2017</b> was filed by AHPCL for approval of the completed capital cost and extension of COD date. The actual completed cost of the project was stated as Rs. 5533 Crore.</li> <li>• As stated in the earlier order <b>dated 24.04.2018</b> of the Commission, has to appoint a Designated Independent Agency (DIA) for prudence check of the Capital cost of the Project.</li> </ul> <p>This document is being issued by the Commission to select a DIA for carrying out the prudence check of cost data submitted by the generation Company and give their report on the verified cost after following the diligent checks.</p>
<b><u>TERMS OF REFERENCE (TOR) for the Bid</u></b>	
4	<p>While doing the appraisal of the Project cost the Consultant shall check and conduct detailed scrutiny of the expenditure incurred, physical status of the project, technical &amp; financial verification of the completed capital cost pertaining to the Power Project and submit the report to the Commission. In this process, the firm/ company/ institution shall verify –</p> <ol style="list-style-type: none"> <li>a) whether least cost option has been followed and optimal project cost is achieved while executing the Project.</li> <li>b) Compare the costs incurred with available benchmark costs, if any</li> <li>c) Undertake verification of geographical and technical constraints as claimed, if any.</li> <li>d) Confirm the reasonability of amount of capital expenditure and capitalization claimed</li> </ol> <p>Accordingly, for such verification purpose, apart from the scrutiny of the audited annual accounts, all EPC and non-EPC work and/or service related contracts, documentary evidence related to such contracts, sample invoices for supply as well as service etc. shall be verified by the firm/ company/ institution.</p> <p>Further, following points shall also be considered by the DIA:</p> <ol style="list-style-type: none"> <li>a. Features of the hydroelectric scheme including site specific features</li> <li>b. Location of the project site</li> <li>c. Geology of the project site</li> <li>d. Hydrology</li> <li>e. Optimum development of the river basin</li> <li>f. Optimum location of Dam and other Civil works detailing various alternative concerned</li> <li>g. Technical examination of Dam height vis a vis actually done and planned originally, detailed design calculations for checking Dam design and safety</li> <li>h. Civil design aspect of various other works included in the Project</li> <li>i. Environmental and forest aspects</li> <li>j. Rehabilitation and resettlement aspects in line with government directives from time to time</li> <li>k. Cost estimate for Civil works and electromechanical works vis a vis the actual cost</li> <li>l. Source of funding and utilisation of fund on the project.</li> <li>m. Geological surprises noticed in the project and their cost implication</li> <li>n. Time and cost overrun specifically pointing out the cost escalation attributable to the generator's fault.</li> </ol>

	<p>o. Any recommendation/ observation of CEA/CWC regarding the project.</p> <p>p. Any other point that the Consultant may like to include to make the prudence check more effective.</p>
5	<p><b>TERMS OF PAYMENT:</b></p> <p>a) Ten percent (10%) of the total contract price as mobilisation advance against Bank guarantee (BG) valid for six (6) months.</p> <p>b) Ninety percent (90%) of the total contract price upon acceptance of final draft of work assigned.</p>
6	<p>The chosen agency must possess the following qualifying requirements:</p> <ul style="list-style-type: none"> <li>• It must be a registered firm/ company/ institution (including educational institutions such as IIT, IIM etc.). No joint venture / association of independent consultants / consortium is allowed.</li> <li>• Must have annual turnover of consulting portfolio <b>equal to or more than Rs. 50 Crores (for each of past 3 financial years)</b> from consultancy business. Annual turnover should be duly certified by statutory auditors or Independent Auditors who are competent to do so. The Bidder shall submit audited accounts of past three (3) financial years (not earlier than FY 2014-15).</li> <li>• The firm/ company/ institution must have done prudence check of Capital cost of at least one (1) hydro power project of not less than fifty (50) MW capacity.</li> <li>• Must undertake that the bidder or his partners / promoters / directors are not associated with AHPCL in any capacity. If the above condition is not met by the consultant, then the said consultant will automatically stand disqualified.</li> </ul> <p>The Bidders shall submit the above details along with supporting documents in Form 2 (given in Appendix). The above requirements are minimum and, the UPERC reserves the right to seek any additional information relevant to these conditions. The UPERC also reserves the right to reject the proposal of any bidder, if in the opinion of the UPERC, the qualification data is incomplete or the Bid is non-responsive on the basis of eligibility criteria as stated above.</p>
7	<p>The Commission will require firm assurance in the Technical bids that the professional staff committed for the assignment will be actually working on the project. The key professional staff shall be the same as proposed in the Form 4 (given in Appendix) of the TOR and normally shall not be changed or substituted during the course of the assignment unless both parties agree.</p>
8	<p>The Consultant shall have an obligation to disclose any situation of actual or potential conflict of interest that impacts their capacity to serve the best interest of the Commission or that may reasonably be perceived as having this effect. In case of “conflict of interest”, bids will not be considered.</p>
9	<p>i. Proposals, all related documents and reports (in case of selection as Consulting Firms/ company/ institution) shall be submitted in ENGLISH only.</p> <p>ii. Firm/ company/ institution are required to quote the rates in the Indian currency only.</p> <p>iii. Proposals shall remain valid for 3 calendar months from the date of opening of the bids. The bids valid for a period shorter than the specified period shall be rejected as being non-responsive.</p>

	iv. It is mandatory for the Bidder to quote the lump sum amount of Fee (inclusive of applicable taxes) of the tasks as mentioned in the TOR and also the travelling and out of pocket expenses.
10	<p><b>COST OF BIDDING</b></p> <p>All costs and expenses direct / incidental to preparation and submission of the bids shall be on account of the bidders. UPERC shall, under no circumstances, be responsible or liable for these costs / expenses regardless of the conduct or outcome of the tendering process.</p>
11	<p><b>OWNERSHIP OF MATERIAL</b></p> <p>All reports, models and any other documents that the selected Consultants will have to prepare under the contract shall belong to and remain the property of the Commission. The Commission shall retain the rights to publish or disseminate reports arising from such material.</p>
12	<p><b>ARBITRATION</b></p> <p>All disputes and differences by and between the parties hereto in any way relating to or; arising out of the present contract or in any way connected with the contract shall be referred to the arbitration to a sole arbitrator selected by mutual consent of both the parties. All the proceedings of arbitration will be held in Lucknow only. The provisions of Arbitration and Conciliation Act, 1996 and its amendments will be applicable.</p> <p><b>JURISDICTION</b></p> <p>Only the Courts established at Lucknow will have the jurisdiction to decide any dispute between the parties.</p>
13	<p><b>TERMINATION</b></p> <p>a) The Commission reserves the right to foreclose, terminate or cancel the engagement without assigning any reason within one (1) month from the date of award of the assignment. In such case the Consultants shall be paid ten percent (10%) of the total contract price as drop-dead fees.</p> <p>b) UPERC will also have full rights to seek full refund from the Firm/ company/ institution if they fail to perform and submit a report which serves the purpose of prudence check or submit a report which is lacking on the important parameters of TOR.</p> <p>c) The Consulting Firm/ company/ institution shall indemnify and hold harmless, UPERC against any and all claims, demands, and / or judgments of any nature brought against UPERC arising out of services under this contract. The obligation of this paragraph shall survive the termination of this contract</p>
14	<p><b>PERFORMANCE STANDARDS</b></p> <p>The Consultants would undertake to perform the services with the highest standards of professional and ethical competence and integrity. The Consulting Firm/ company/ institution shall promptly replace any employee assigned under this assignment that the Commission considers unsatisfactory at no extra charge; however, the replacement must be acceptable to the Commission.</p>
15	<p>The Bid Schedule shall be as under:</p> <ol style="list-style-type: none"> <li>1. <b>Last Date of Receipt of Bid Proposal:</b> 01.10.2018 up to 1700 hrs</li> <li>2. <b>Opening of Technical Bids:</b> 09.10.2018 at 1530 hrs</li> <li>3. <b>Evaluation/ Results of Technical Bids:</b> 23.10.2018 at 1530 hrs</li> </ol>

	<p><b>4. Opening of Financial Bids:</b> will be intimated.</p> <p><b>Note:</b> If any date falls on a holiday, then immediate next working day will be considered.</p>																
16	<p>i. Separate Technical and Financial proposals are required to be submitted for the assignment. Separate sealed cover shall be submitted for the Financial and Technical Bids. The Bidder shall submit one set of the bid in original with two duplicates. <b>The envelopes shall mention name of assignment and nature of Bid (Technical/Financial) at the top and the name of the submitting bidder at the left-hand corner of the envelope. The Technical and financial bids should be submitted in separate envelopes.</b> The Technical Bid shall be as per enclosed formats (Form- 2 to 4, given in Appendix) and Financial bid (Form- 6, given in Appendix) of the Bid Document.</p> <p>ii. The technical offer(s) shall be accompanied by an <u>Earnest Money Deposit of Rs. 50,000/- (Rupees Fifty Thousand only)</u> in the form of a Pay Order/Demand Draft in favour of "Secretary, UPERC", payable at Lucknow, in a separate sealed envelope.</p> <p>iii. The Technical bids shall not indicate particulars of the Financial bids otherwise the bids shall be liable to be rejected. All Technical documents like literature, catalogues, etc. shall be placed in the same sealed cover.</p> <p>iv. Each page of bid should be numbered and signed by the authorized signatory with the seal of the firm/ company/ institution and the forwarding letter must indicate the details of the enclosures attached.</p> <p>v. A Power of Attorney duly notarised by the Notary Public, indicating that the person(s) signing the bid has the authority to sign the bid and that the bid is binding upon the Bidder during the full period of its validity, shall be submitted.</p>																
17	<p><b>TECHNICAL EVALUATION:</b></p> <p>The Technical bids shall be opened at <b>1530 hrs on 09.10.2018</b> at the office of the Secretary, UPERC, by the Evaluation Committee (constituted for the purpose) in the presence of bidders, who wish to participate. The Technical evaluation will be done on the basis of the following criteria with maximum marks of 100 for each criterion. The weightage for each factor of the criteria shall be as follows:</p> <table border="0" data-bbox="292 1375 1380 1459"> <tr> <td><b>1. Firm's/company's/institution's experience for the assignment</b></td> <td style="text-align: right;"><b>50 marks</b></td> </tr> <tr> <td><b>2. The qualifications of the key-staff proposed</b></td> <td style="text-align: right;"><b>50 marks</b></td> </tr> </table>	<b>1. Firm's/company's/institution's experience for the assignment</b>	<b>50 marks</b>	<b>2. The qualifications of the key-staff proposed</b>	<b>50 marks</b>												
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18	<p><b>CRITERION FOR TECHNICAL EVALUATION</b> – Evaluation shall be done strictly on the basis of information submitted by the Bidders in relevant Forms:</p> <ul style="list-style-type: none"> <li><b>The Firm's/ company's/ institution's experience for the assignment</b> – The sub-criterion to be followed for evaluation of the key professionals shall be as shown in the Table below.</li> </ul> <table border="1" data-bbox="246 1669 1421 2009"> <thead> <tr> <th colspan="4" style="text-align: center;"><b>Firm/ company/ institution Experience</b></th> </tr> <tr> <th style="width: 10%;">Serial</th> <th style="width: 35%;">Parameter</th> <th style="width: 15%;">Max. marks</th> <th style="width: 40%;">Remarks</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">A</td> <td>Hydro projects</td> <td style="text-align: center;">20</td> <td>For every completed capital assessment of Hydro Project &gt;50 MW, 2 marks shall be awarded. Total subject to max. 20 marks</td> </tr> <tr> <td style="text-align: center;">B</td> <td>Non-Hydro</td> <td style="text-align: center;">15</td> <td>For every completed capital assessment of non-hydro project &gt;200 MW, 1.5 marks shall be awarded. Total subject to max. 15 marks</td> </tr> </tbody> </table>	<b>Firm/ company/ institution Experience</b>				Serial	Parameter	Max. marks	Remarks	A	Hydro projects	20	For every completed capital assessment of Hydro Project >50 MW, 2 marks shall be awarded. Total subject to max. 20 marks	B	Non-Hydro	15	For every completed capital assessment of non-hydro project >200 MW, 1.5 marks shall be awarded. Total subject to max. 15 marks
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	C	Total experience in years	7.5	For every year of experience, 0.75 marks will be awarded. Total subject to max. 7.5 marks
	D	Average revenue for last 3 FY	7.5	Maximum 7.5 marks will be awarded to firm with maximum revenue. Other firms will receive marks inversely proportional to that.
	<b>Total Firm/ company/ institution Experience marks: (A+B+C+D)</b>			
	<ul style="list-style-type: none"> <li>• <b>The Qualifications of the key-staff proposed</b> – The sub-criterion to be followed for evaluation of the key professionals shall be as shown in the Table below.</li> </ul>			
	<b>Key Personnel Experience</b>			
	Serial	Parameter	Max. Marks	Remarks
	E	Educational Qualification	20	Maximum 4 personnel (at least 2 Engineers and 1 Finance expert). Educational qualification will be marked as follows: PHD- 5 marks, MTech/CA/ICWA/MBA- 4 marks, Btech- 3 marks
	F	Total experience in years	20	Experience will be marked as follows: <5 yrs: 0 marks; [5-10) yrs: 1 mark; [10-15) yrs: 2 marks; [15-20) yrs: 3 marks; [20-25) yrs: 4 marks; >=25 yrs: 5 marks.
	G	Hydro Projects	10	For every completed capital assessment of Hydro Project >50 MW, 0.5 marks shall be awarded subject to max. of 2.5 marks per personnel and total 10 marks for 4 personnel
	<b>Total Key Personnel marks: (E+F+G)</b>			
19	<p>The minimum qualifying total score, for the technical bid shall be 60. The financial bids of only those bidders shall be opened whose score shall be 60 or above in technical bids. After completion of the technical evaluation, the Commission shall inform those Firm/ company/ institution whose proposals did not meet the minimum qualifying mark or were considered non-responsive to the TOR. The Financial proposal etc. of such non-responsive bids shall be returned un-opened on completion of the selection process. The list of bidders whose offers have been selected after technical evaluation shall be displayed at the notice board of the Commission and such selected bidders may attend the opening of financial bids at the office of the Secretary, UPERC at scheduled date &amp; time of opening of financial bid, which will be intimated later on.</p> <p>The Earnest Money Deposit (EMD) of unsuccessful bidder will be returned on the bidder's formal request, only after issue of work order to successful bidder.</p>			
20	<p><b>FINANCIAL EVALUATION:</b></p> <p>The financial proposals of the Bidders who qualify in the technical evaluation shall be opened by the Evaluation Committee (constituted for the purpose) in presence of those consultants or their representatives, who wish to attend. The proposal with lowest quote shall be given a financial score of 100 and other proposals shall be given financial scores that are inversely proportional to their rates.</p>			

21	<p><b>EVALUATION OF FINAL BIDS:</b></p> <p>It shall entail selection of consulting Firm/ company/ institution after adding scores of technical and financial proposals with respective weightage, which shall be <b>0.70</b> for technical bid and <b>0.30</b> for financial bid.</p>
22	<p>The Commission will select the bidder with the highest score and discuss the detailed work plan with them. Any suggestions that the bidder has, to improve the TOR, staffing details, activities to be undertaken by the consultants, reporting, etc. as also the inputs required from the UPERC to ensure satisfactory implementation of the assignment, shall be discussed with the bidder and finalized. These discussions will be on the strict understanding that the amount quoted in the Bid document will not be increased for any reason whatsoever.</p>
23	<p>Having selected the Consulting Firm/ company/ institution, amongst other things, in consideration of the proposed key professional staff, UPERC shall award a contract on the basis of the staff named in the proposal and, prior to the award of contract, shall require an assurance that the staff mentioned in the Form 4 of the Bid document will be actually available and deployed for this assignment. UPERC will not consider substitutions during the contract period except in cases of genuine hardships subject to the satisfaction of the Commission.</p>
24	<ul style="list-style-type: none"> <li>i. The successful bidder shall enter into a contract with the Commission in the prescribed format and shall commence the assignment as per schedule finalised by the Commission.</li> <li>ii. The successful bidder shall furnish a “Performance Bank Guarantee” equivalent to 10% of the value of contract, which shall be valid for six (6) months till the completion of the assignment.</li> <li>iii. The Commission may hold review meetings with the consultants during which, the senior/key personnel of the consultant shall be present.</li> <li>iv. If the Consulting Firm/ company/ institution, finally selected for award of contract on basis of above procedure, fails to enter into a contract within the time limit as may be prescribed, the Commission after giving 7 days’ notice to the selected bidder, shall invite the Firm/ company/ institution who has obtained the second highest score on consideration of Financial and Technical bids for award of the contract for carrying out the assignment.</li> </ul>
25	<p><b>CONFIDENTIALITY</b></p> <p>No confidential information relating to this assignment (i.e., information other than what is already available in the public domain) shall be divulged by the Consultants to any other person or agency without the written consent of the Commission. Strict secrecy will be maintained in respect of all confidential information and transactions between the Parties. Disclosures to a Government or a Court / Tribunal shall not be covered by this clause.</p>
26	<p>The Commission reserves the right to award the total assignment or to delete any part of assignment or reject any bid without assigning any reasons for the same. Failure to provide all information or conceal any information material to award of contract shall be at bidder’s own risk and may result into rejection of the proposal.</p>



27	<p>The address for submission of proposal and requesting any clarifications is:</p> <p><b>The Secretary,</b> <b>Uttar Pradesh Electricity Regulatory Commission,</b> <b>Vidyut Niyamak Bhawan, Vibhuti Khand</b> <b>Gomti Nagar, Lucknow- 226010</b> <b>E-mail: <a href="mailto:secretary@uperc.org">secretary@uperc.org</a></b> <b>Phone: <a href="tel:+91-522-2720426">+91-522-2720426</a></b> <b>Fax: <a href="tel:+91-522-2720423">+91-522-2720423</a></b></p>
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**PROPOSAL SUBMISSION FORM**

To:

The Secretary,  
Uttar Pradesh Electricity Regulatory Commission  
Vidyut Niyamak Bhawan, Vibhuti Khand  
Gomti Nagar, Lucknow- 226010

Sir,

1. We, the undersigned, offer to provide the consultancy services for undertaking the assignment- Prudence check of the capital cost of Alaknanda Hydro Power project in accordance with your bid advertised on ..... August, 2018:
2. We are hereby submitting our proposal for assignment in Original with two copies in duplicate, which includes the Technical Proposal and the Financial Proposal sealed under separate envelopes. The proposal is valid for a period of 3 calendar months with effect from \_\_\_\_\_2018. Each page of the proposal has been numbered and signed by the Authorized Signatory. A Power of Attorney duly notarised by the Notary Public, indicating that the person(s) signing the bid has the authority to sign the bid and that the bid is binding upon the Bidder during the full period of its validity is enclosed.
3. It is also confirmed that presently we are not handling any assignment that would conflict with this assignment or place us in a position of not being able to carry out this assignment objectively and impartially.
4. It is also confirmed that we are not associated with AHPCL. We have submitted a certificate of no conflict of interest on a notarised affidavit for the same.
5. If negotiations are proposed by the Commission at any stage we undertake to negotiate as per the requirement of the assignment. Our Proposal is binding upon us and subject to the modifications resulting from contract negotiations.
6. A demand draft/pay order no. \_\_\_\_\_ dt. \_\_\_\_\_ for Rs. \_\_\_\_\_ in favour of Secretary, UPERC payable at Lucknow, UP is enclosed herewith.
7. We understand that you are not bound to accept any Proposal you receive.

Encl. As above Yours sincerely,

Authorized Signatory:

Name and title of Signatory:

Name of the Firm/ company/ institution:

**Basic Information**

Name of the Firm/ company/ institution -		
<b>Description</b>	<b>Whether documentary proof attached</b>	<b>Page No.</b>
Whether the Bidder is a firm/ company/ institution		
Is the annual turnover of the consulting portfolio have been at least Rs. 50 crores in the consultancy business during the each past three years (not earlier than FY 2014-15)	Yes/ No	
Has the Bidder got at least 3 years of experience in mentioned scope	Yes/ No	
Has the Bidder furnished the Earnest Money deposit	Yes/ No	Draft No./dated
Has the Bidder submitted a notarized Affidavit of No conflict of interest on the name of an authorized person	Yes/ No	Name of the authorized person and contact No.
Has the Bidder furnished the notarized Power of Attorney in the name of a authorized person	Yes/ No	Name of the authorized person and contact No.

Authorized Signatory

Name of the firm/ company/ institution

**Technical Proposal****Firm's/ company's/ institution's experience for the assignment**

(Using the format below, provide information on each reference/assignment for which you were legally contracted and the assignment was undertaken during the last three years, i.e. FY 2015-16 to 2017-18. Also provide attested photocopies of all relevant papers in support of the same)

**Table- A**

Sl. No.	Assignment	Assignment No.1	Assignment No.2	Assignment No.3	Assignment No.4	Assignment No.5
1	Name/Description of the Assignment					
2	Name and address of the client					
3	Whether the assignment has been successfully completed? (Yes/ No)					
4	Start Date, End Date of the Assignment					
5	Value of consultancy work (in Rs Lacs)					
6	Whether the documentary evidence for the assignment is enclosed? If yes, the nature of the document, i.e. award letter/ contract/ completion certificate and the page no.					
7	Page No. & Flag No. in your proposal					

**Table- B**

<b>Firm/ company/ institution Experience</b>			
Serial	Parameter	Max. marks	Remarks
A	Hydro projects	20	For every completed capital assessment of Hydro Project >50 MW, 2 marks shall be awarded. Total subject to max. 20 marks
B	Non-Hydro	15	For every completed capital assessment of non-hydro project >200 MW, 1.5 marks shall be awarded. Total subject to max. 15 marks
C	Total experience in years	7.5	For every year of experience, 0.75 marks will be awarded. Total subject to max. 7.5 marks
D	Average revenue for last 3 FY	7.5	Maximum 7.5 marks will be awarded to firm with maximum revenue. Other firms will receive marks inversely proportional to that.

	<b>Total Firm/ company/ institution Experience marks: (A+B+C+D)</b>
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**Note:**

- i. The bidder is required to submit work order indicating the value of work awarded in support along with a certificate declaring that the work has been completed satisfactorily.
- ii. In the absence of documentary evidence for each reference indicated at Sl. No. 1 to 6 of Table- A above, the experience shall not be considered for evaluation.
- iii. No marks shall be allotted for any column left blank
- iv. The bidder may attach more pages if the space provided in respect of assignments is not sufficient.

Authorized Signatory

Name of the firm/ company/ institution

**Technical Proposal**

**Qualifications of the key Staff proposed for the assignment**

<b>Key Personnel</b>			
<b>Serial</b>	<b>Parameter</b>	<b>Max. Marks</b>	<b>Remarks</b>
E	Educational Qualification	20	Maximum 4 personnel (at least 2 Engineers and 1 Finance expert). Educational qualification will be marked as follows: PHD- 5 marks, MTech/CA/ICWA/MBA- 4 marks, Btech- 3 marks
F	Total experience in years	20	Experience will be marked as follows: <5 yrs: 0 marks; [5-10] yrs: 1 mark; [10-15] yrs: 2 marks; [15-20] yrs: 3 marks; [20-25] yrs: 4 marks; >=25 yrs: 5 marks.
G	Hydro Projects	10	For every completed capital assessment of Hydro Project >50 MW, 0.5 marks shall be awarded subject to max. of 2.5 marks per personnel and total 10 marks for 4 personnel
	<b>Total Key Personnel marks:</b>	(E+F+G)	

**Note:**

- i. Only 4 persons are required (If a Firm/ company/ institution considers more than four (4) persons then best 4 among them should be chosen). Among them one (1) has to be a Finance expert (CA/ICWA) and two (2) persons should be Engineers.
- ii. Separate Resume for each staff shall also be enclosed describing in detail the project done.
- iii. No marks shall be allotted for any column left blank.
- iv. The bidder may attach more pages, if the space provided in respect of staff is not sufficient.

Authorized Signatory

Name of the firm/ company/ institution

***FINANCIAL PROPOSAL SUBMISSION FORM***

To:

The Secretary,  
Uttar Pradesh Electricity Regulatory Commission  
Vidyut Niyamak Bhawan, Vibhuti Khand  
Gomti Nagar, Lucknow- 226010

Sir,

1. We, the undersigned, offer to provide the consultancy services for undertaking the assignment- Prudence check of the capital cost of the Alaknanda Hydro power project in accordance with your bid advertised on .....August, 2018:
2. We hereby submit our Financial Proposals in Form 6 for the assignment.
3. We hereby confirm/ company/ institution that the financial proposal in Form 6 is unconditional and we acknowledge that any condition attached to financial proposal shall result in rejection of our financial proposal. Our Financial Proposal shall be binding upon us subject to the modification resulting from Contract negotiations, with the Commission.
4. Each page of the proposal has been signed by the Authorized Signatory.
5. We understand that you are not bound to accept any Proposal you receive.

**Encl. Form 6**

Yours Sincerely

Authorized Signatory:

Name and title of Signatory:

Name of the Firm/ company/ institution:

Address:

**Financial Proposal**

**Summary of cost**

<b>Sl. No.</b>	<b>Costs</b>	<b>Bid amount (in Rs)</b>	<b>Taxes (in Rs)</b>	<b>Total amount (in Rs)</b>
1	Prudence check of the capital cost of Alaknanda Hydro power project			

**Note: Bid amount includes the travelling and out of pocket expense.**

Authorized Signatory

Name of the firm/ company/ institution